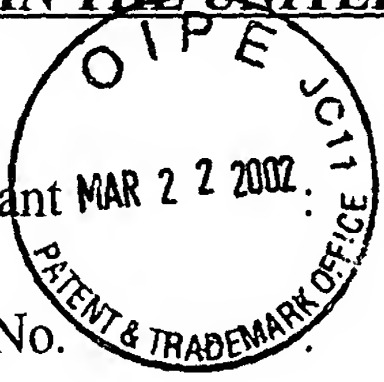


#4 1761

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant  COTTEN et al.

Serial No. 10/032,781 Group Art Unit: 1761

Filed : December 28, 2001 Examiner: not yet assigned

For : DAIRY-BASED CANDY PRODUCTION UTILIZING  
PLATE AND FRAME ASSEMBLY

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner For Patents  
Washington, DC 20231

Sir:

The citations enumerated on the list of references cited (modified Form PTO-1449) appended hereto may be material to the patentability of the above-identified application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR 1.56. The Examiner is requested to make these citations of official record in this application by initialing the citations on the enclosed modified Form PTO-1449.

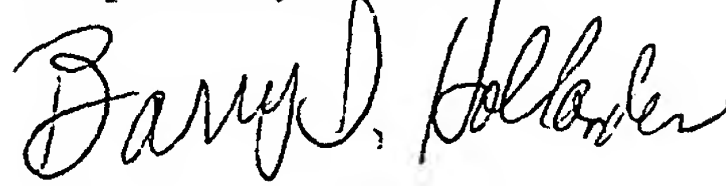
This Information Disclosure Statement Under 37 CFR 1.56 is not to be construed: 1) as an admission that the information cited is, or is considered to be material to patentability as defined in 37 CFR 1.56(b), 2) as a representation that a search has been made, 3) that additional information that may be material to the patentability of this application does not exist, or 4) that any one or more of these citations constitute prior art under 35 USC 102.

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COTTEN et al. - Serial No. 10/032,781  
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Respectfully submitted,




Barry I. Hollander  
Reg. No. 28,566

Hollander Law Firm, P.L.C.  
Suite 305, 10300 Eaton Place  
Fairfax, Virginia 22030  
(703) 383-4800

Enclosures:

Form PTO 1449 (3 sheets)  
Copy of each reference cited

March / 5, 2002

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| <p align="center"><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this correspondence dated <u>3/15/02</u> is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>3/15/02</u></p> <p align="center"><br/>_____<br/>HOLLANDER LAW FIRM, P.L.C.<br/>Suite 305<br/>10300 Eaton Place<br/>Fairfax, Virginia 22030</p> <p>Date: <u>3/15/02</u></p> |
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|   |   |    |   | Application Number       | 10/032,781       |
|   |   |    |   | Filing Date              | 12/28/2001       |
|   |   |    |   | First Named Inventor     | COTTEN           |
|   |   |    |   | Group Art Unit           | 1761             |
|   |   |    |   | Examiner Name            | not yet assigned |
| Sheet   | 1 | of | 3 | Attorney Docket Number   | KFHI-101         |

| U.S. PATENT DOCUMENTS |                          |                             |                    |  |  |  |
|-----------------------|--------------------------|-----------------------------|--------------------|--|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent Document        |                    | Name of Patentee or<br>Applicant of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                       |                          | Number<br>Code <sup>2</sup> | Kind<br>(if known) |  |  |  |
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| FOREIGN PATENT DOCUMENTS |                          |                         |        |                                      |  |  |  |                |
|--------------------------|--------------------------|-------------------------|--------|--------------------------------------|--|--|--|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document |        |                                      | Name of Patentee or<br>Applicant of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|                          |                          | Office <sup>3</sup>     | Number | Kind Code <sup>5</sup><br>(if known) |  |  |  |                |
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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|   |   |    |   | Examiner Name            | not yet assigned |
| Sheet   | 3 | of | 3 | Attorney Docket Number   | KFHI-101         |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---|--------------------------|---|----------------|
| Examiner<br>Initials*                             | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.                                 | T <sup>2</sup> |
|   |                          | Copy of 10.5 oz. Nabisco, Inc. bag package (undated) for LifeSavers® orange & creme Creme Savers® Hard Candy, with copy of US PTO online abstract for U. S. Trademark Registration No. 2,255,941 for the word mark Creme Savers for candy indicating a first use in commerce of August 17, 1998 |                |
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|   |                          | Two page brochure, Alfa Laval Plate Heat Exchanger M10-MFHC, Alfa Laval Thermal, Inc., undated  |                |
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